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BIB DATA SHEET

CONFIRMATION NO. 9758

| SERIAL NUMI | | | | | CLASS | GROUP ART UNIT | | ATTORNEY DOCKET | | |
|---|---|--------|---------------------|-----|----------|------------------|---------------------------------------|-----------------|-------------------------|--|
| 10/697,85 ⁻ | 51 DAT 10/30/2 | | _ | | 705 | 369 ⁻ | 3691 | | NO. 30894-101 | |
| | RUL | | E | | | | | | | |
| APPLICANTS Thomas Peterffy, Greenwich, CT; Ken Leibler, Chestnut Hill, MA; Luc Bertrand, Baie d'Urfe, CANADA; | | | | | | | | | | |
| ** CONTINUING DATA *********************************** | | | | | | | | | | |
| ** FOREIGN APPLICATIONS ************************************ | | | | | | | | | | |
| ** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** ** SMALL ENTITY ** 01/30/2004 | | | | | | | | | | |
| Foreign Priority claime | | Yes No | □ Mot of | *** | STATE OR | SHEETS | ТОТ | | INDEPENDENT | |
| 35 USC 119(a-d) conditions met ☐ Yes ☑ No Verified and /BIJENDRA K | | | Met after Allowance | | COUNTRY | DRAWINGS | | | CLAIMS | |
| | SHRESTHA Examiner's | | Initials | | СТ | 5 | 20 |) | 6 | |
| ADDRESS | | | | | | | | | | |
| BURNS & LEVINSON, LLP 125 SUMMER STREET BOSTON, MA 02110 UNITED STATES | | | | | | | | | | |
| TITLE | | | | | | | | | | |
| Price improvement processor for electronic trading of financial instruments | | | | | | | | | | |
| | | | | | | | ☐ All Fees | | | |
| | FEES: Authority has been given in Paper No to charge/credit DEPOSIT ACCOUNT | | | | | □ 1.16 | ☐ 1.16 Fees (Filing) | | | |
| | | | | | | NT 1.17 | ☐ 1.17 Fees (Processing Ext. of time) | | | |
| | No for following: | | | | | | ☐ 1.18 Fees (Issue) | | | |
| | | | | | | ☐ Othe | Other | | | |
| | | | | | | ☐ Cred | ☐ Credit | | | |
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